
NEW YEAR SEC UPDATES

1:30pm to 4:45pm, Monday, January 31, 2022 via ZOOM

COURSE OUTLINE

This SEC-accredited Corporate Governance training will comprehensively discuss not only the updated and new memorandum circulars but will also provide interpretation, guidance on rules that are still relevant and what has become irrelevant, and valuable insights on the Revised Corporation Code of the Philippines based on the updates that will be presented.

2021 SEC UPDATES

- MC No. 01 s. 2021
 - Guidelines in Preventing the Misuse of Corporations for Illicit Activities through Measures Designed to Promote Transparency of Beneficial Ownership (“BO Transparency Guidelines”)
- MC No. 02 s. 2021
 - Compliance with Section 4 of SEC Memorandum Circular No. 18, Series of 2019 for Newly Registered Financing Companies (FCs) and Lending Companies (LCs)
- MC No. 03 s. 2021
 - Schedule and Procedure for the Filing of Annual Financial Statements, General Information Sheet and Other Annual Reports
- MC No. 04 s. 2021
 - Amendment of MC no. 16, s. of 2018 or the Guidelines on Anti-Money Laundering and Combating the Financing of Terrorism for SEC Covered Institutions (“2018 AML/CFT Guidelines”) and MC no. 29, s. of 2020 or the 2020 Guidelines on the Submission and Monitoring of the Money Laundering and Terrorist Prevention Program (MTPP)
- MC No. 06 s. 2021
 - Amendments on the SRC Rules 9 and 10
- MC No. 07 s. 2021
 - Calling of Special Stockholders’ Meetings
- MC No. 09 s. 2021
 - Rules on Authorization of an Investment Company as a Qualifying CIS and Recognition of a Foreign CIS Under the ASEAN CIS Framework
- MC No. 10 s. 2021
 - Moratorium on New Online Lending Platforms
- MC No. 11 s. 2021
 - Adjusted Deadline for Filing Additional Securities Deposit

All other MCs that will be issued by the SEC until December 31, 2021.

2020 SEC UPDATES

- MC No. 29 s. 2020
 - Guidelines on the Submission and Monitoring of the Money Laundering and Terrorist Financing Prevention Program (MTPP)
- MC No. 30 s.2020
 - Revision of the General Information Sheet (GIS) of Foreign Corporations to Include Beneficial Ownership Information
- MC No. 31 s.2020
 - Non-Imposition of Fines and Other Monetary Penalties for Non-Filing, Late Filing and Failure to Comply with Compulsory Notification and other Reportorial Requirements
- MC No. 33 s.2020
 - Amendments to the Implementing Rules and Regulations of the Investment Company Act, as Amended

For query, registration, in-house training and consulting, you may contact:

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